AO 120 (Rev. 08/10)

TO: Mail Stop 8 Director of the U.S. Patent and Trademark Office P.O. Box 1450

Alexandria, VA 22313-1450

REPORT ON THE FILING OR DETERMINATION OF AN ACTION REGARDING A PATENT OR TRADEMARK

In Compliance filed in the U.S. Distr		r 15 U.S.C. § 1116 you are hereby advised that a court ac Eastern District of Arkansas	tion has been on the following		
		ction involves 35 U.S.C. § 292.):			
DOCKET NO. 4:12-cv-00536-SWW	DATE FILED 8/23/2012	U.S. DISTRICT COURT Eastern District of Arka	nsas		
PLAINTIFF P.S. Products Inc. and B	illy Pennington	DEFENDANT SDC Self Defense Corp.			
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRA	ADEMARK		
1 US D561, 294S	2/5/2008	P.S. Products Inc. and Billy Pennington			
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3		1.11.435			
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DATE INCLUDED	INCLUDED BY	he following patent(s)/ trademark(s) have been included: mendment	☐ Other Pleading		
PATENT OR TRADEMARK NO.	DATE OF PATENT OR TRADEMARK	HOLDER OF PATENT OR TRA	ADEMARK		
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In the above	e-entitled case, the following	g decision has been rendered or judgement issued:			
DECISION/JUDGEMENT	·	- -			
CLERK		Y) DEPUTY CLERK	DATE		
James W. McCorma	ck /	/s/Tammy Downs	8/27/2012		

Case 4:12-cv-00536-SWW Document 1 Filed 08/23/12 Page 1/06/DETRIC COURT

AUG, 2 3 2012

IN THE UNITED STATES DISTRICT COURTIAMES WE FOR THE EASTERN DISTRICT OF ARKANS AND ARKAN

DEP CLER

P. S. PRODUCTS, INC., BILLY PENNINGTON, Individually **PLAINTIFFS**

No. CV- 2012

4:12-CV-536 SWW

SDC SELF DEFENSE CORP

v.

DEFENDANT

This case assigned to District Jugge WM

COMPLAINT and to Magistrals 1990...

TO THE HONORABLE UNITED STATES DISTRICT COURT:

COMES NOW the Plaintiff, P.S. Products, Inc., and Mr. Billy Pennington, individually, hereafter "Plaintiffs," by and through its attorney, Chris H. Stewart of the Stewart Law Firm, files this Complaint against Defendant, SDC Self Defense Corp hereafter "Defendant," states:

JURISDICTION AND VENUE

- 1. This Court retains jurisdiction as patent infringement raises a federal question and is proper under 28 U.S.C. § 1331.
- 2. Pursuant to 28 U.S.C. § 1391, venue in this suit lies in the Eastern District of Arkansas because the actions which gave rise to the claims presented in this complaint occurred in Little Rock, Arkansas, within the Eastern District of Arkansas.
- 3. Additionally, the Eastern District of Arkansas has personal jurisdiction of the Defendants. Defendants have maintained substantial, continuous and systematic contacts with the state of Arkansas through its business dealings with customers. Defendant marketed its services and provided customer services to the state of Arkansas. See Ark. Code Ann. § 16-4-

A TRUE COPY I CERTIFY
JAMES W. McCORMACK, CLERK

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101 (1987); <u>Ultimatics, Inc. v. Minimatic, Inc.</u>, 715 F. Supp. 1448 (E.D. Ark. 1989); and <u>Ferrell v. W. Bend Mut. Ins. Co.</u>, 393 F.3d 786 (8th Cir. 2005).

4. Additionally, The Eastern District of California has personal jurisdiction of the Defendants because, among other things, Defendants are engaged in tortuous conduct within the state of Arkansas and in this District, including placing into commerce illegal copies of Plaintiffs' patented products via www.selfdensecorp.com and inducing third-parties to infringe upon the Plaintiffs' patented products.

PARTIES RELEVANT TO PLAINTIFFS'COMPLAINT FOR PATENT INFRINGEMENT

- 5. This action is brought by P.S. Products, Inc., and its president, Mr. Billy Pennington, manufacturers of stun guns and other personal security devices, organized within the state of Arkansas with its principal headquarters at 3120 Joshua Street, Little Rock, AR 72204.
- 6. The Defendant is a manufacture and importer of goods from China and sells the goods as retail and wholesale nationwide. The Defendant is a corporation organized and existing in the state of Florida with business activities throughout the United States, on the World Wide Web and specifically in the state of Arkansas. The Defendant is headquartered at 5531 Hampton Woods Way, Tallahassee, FL 32311.

FACTS APPLICABLE TO CLAIMS IN PLAINTIFFS'COMPLAINT FOR PATENT INFRINGEMENT

- 7. The Plaintiffs specialize in the manufacture and distribution of stun guns, stun devices, gun cleaning kits, and other personal protection devices.
- 8. The Plaintiffs market and sale its patented products through trade specialty shows, sales associates, retail stores, catalogs and through internet distribution throughout the United States.

- 9. The Defendant is a manufacturer and importer of goods from China and sells the goods as retail and wholesale nationwide.
 - 10. The Defendants own and operate the website <u>www.selfdefensecorp.com</u>.
- 11. On February 5, 2008, United States Letters Patent No. US D561, 294 S, were issued to the Plaintiffs for an invention for a Stun Gun.
- 12. The Plaintiffs owed the patent No. US D561, 294 S throughout the period of the Defendants infringing acts and still owns the patent.
 - 13. The Plaintiffs' products are one of a kind.
- 14. The Plaintiffs' designs are its own intellectual property. No goods of this design existed prior to the Plaintiffs' designs and patents.
- 15. The Plaintiffs are the only holder of patents on products of this kind in the United States.
 - 16. The Blast Knuckle Stun Gun is the Plaintiffs most sought after and sold product.
- 17. The Plaintiffs makes most of its revenue off of the patented Blast Knuckle® stungun than any other item it sells.
- 18. The Plaintiffs have complied with the statutory requirement of placing a notice of the Letters of Patent on all Stun Guns.
- 19. On information and belief the Plaintiffs learned that the Defendant is selling an illegal copy of the Plaintiffs' product.
 - 20. The Defendant currently sells the illegal products on its websites and catalogs.
- 21. The Defendant has sold and continues to sell on their websites illegal copies of the Plaintiffs' patents and contributes to third parties selling illegal copies of the Plaintiffs' patents on its websites.

- 22. 35 U.S.C. § 271 states in part,
 - "(a) Except as otherwise provided in this <u>title [35 USCS §§ 1</u> et seq.], whoever without authority makes, uses, offers to sell, or sells any patented invention, within the United States or imports into the United States any patented invention during the term of the patent therefore, infringes the patent. (b) Whoever actively induces infringement of a patent shall be liable as an infringer."
- 23. The Defendant's actions have violated 35 U.S.C. § 271.
- 24. The Defendant, intentionally, willfully, and wantonly violated 35 U.S.C. § 271.
- 25. The Defendant without authority placed in the stream of commerce and offered to sell, the Plaintiffs' patented inventions, within the United States.
- 26. The Defendant without a licensed from the Plaintiffs placed in the stream of commerce and offered to sell, the Plaintiffs' patented inventions, within the United States.
- 27. The Defendant has induced individuals and companies to infringe on the Plaintiffs' patented products.
- 28. A person with an internet connection may find the Defendant's illegal product on the websites, <u>www.selfdefensecorp.com</u>.
- 29. The Defendant's product ID for the illegal product is "Little Demon Knuckle Blaster, Code KB-7000"
- 30. The Defendant directly infringed on the Plaintiffs' patents by placing illegal products on websites it operates.
- 31. The Plaintiff has suffered lost of reasonable royalties and loss of profits by the Defendant's actions.
- 32. The Defendant has infringed and is still infringing the Letters of Patents Nos. US D561, 294 S by selling the Stun Guns that embody the patented invention and the Defendants will continue to do so unless enjoined by this Court.

33. The Plaintiffs have complied with the statutory requirements of placing notice and mailing notice of the Letters of Patent on all Stun Guns it manufactures and sells, and has given the Defendants written notice of the infringement.

COUNT I PATENT INFRINGEMENT

- 34. Plaintiffs incorporate by reference each and every averment contained in paragraphs 1 through 40 of the Plaintiffs' Complaint, inclusive.
 - 35. The Plaintiffs are the owners of patent No. US D561, 294 S.
- 36. Defendant directly infringed upon the rights of the Plaintiffs' patent No. US D561, 294 S.
- 37. Defendant directly infringed on the Plaintiffs' patented stun gun No. US D561, 294 S by placing in the stream of commerce an illegal copy of the Plaintiffs' patented stun gun No. US D561, 294 S, entitled "Little Demon Knuckle Blaster," on the website www.selfdefensecorp.com which the Defendant own and operate.
- 38. Each such infringement by Defendant constitutes a separate and distinct act of infringement. Defendant's acts of infringement are willful, in disregard of and with indifference to the rights of the Plaintiffs.
- 39. As a direct and proximate cause of the infringement by Defendant, the Plaintiffs are entitled to reasonable royalties that may be proper under 35 U.S.C. § 284 in amounts to be proven at trial, lost profits in amounts to be proven at trial, enhanced damages as may be proper under 35 U.S.C. § 284 and a reasonable attorney's fee pursuant to 35 U.S.C. § 285.

COUNT II PATENT INFRINGEMENT

- 40. Plaintiffs incorporate by reference each and every averment contained in paragraphs 1 through 52 of the Plaintiffs' Complaint, inclusive.
 - 41. The Plaintiffs are the owners of patent No. US D561, 294 S.
- 42. Defendant directly infringed upon the rights of the Plaintiffs' patent No. US D561, 294 S.
- 43. Defendant directly infringed on the Plaintiffs' patented stun gun No. US D561, 294 S by placing in the stream of commerce an illegal copy of the Plaintiffs' patented stun gun No. US D561, 294 S, entitled "Little Demon Knuckle Blaster."
- 44. Each such infringement by Defendant constitutes a separate and distinct act of infringement. Defendant's acts of infringement are willful, in disregard of and with indifference to the rights of the Plaintiffs.
- 45. As a direct and proximate cause of the infringement by Defendant, the Plaintiffs are entitled to reasonable royalties that may be proper under 35 U.S.C. § 284 in amounts to be proven at trial, lost profits in amounts to be proven at trial, enhanced damages as may be proper under 35 U.S.C. § 284 and a reasonable attorney's fee pursuant to 35 U.S.C. § 285.

Therefore, the Plaintiffs demand:

PRAYER FOR RELIEF

WHEREFORE, Plaintiffs prays for judgment against Defendants, and each of them jointly and severally, as follows:

A. Judgment against the Defendants declaring that the Defendants' actions directly infringe on the Defendants' patents Nos. US D561, 294 S;

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В. Defendants' reasonable royalties that may be proper under 35 U.S.C. § 284 in

amounts to be proven at trial;

C. Defendants' lost profits with respect to each patent infringement in amounts to be

proven at trial;

D. Enhanced damages that may be proper under 35 U.S.C. § 284 with respect to each

patent infringement for the Counter-Defendant's willful infringement;

E. A declaration that the Plaintiffs' case against the Defendants is an exceptional

case pursuant to 35 U.S.C. § 285 and therefore subject to attorneys' fees;

F. An award of costs and attorneys' fee to the Defendants; and,

G. Such other relief as the Court deems just and reasonable.

DEMAND FOR A JURY TRIAL

Plaintiffs demand a trial by jury as to all claims averred herein that are triable by jury.

Dated: August 23, 2012

Ark. Bar No. 03-222

Attorney for Plaintiff

904 Garland Street

Little Rock, AR 72201

Phone: 501-353-1364

Fax: 501-353-1263

Email: arklaw@comcast.net

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SJS 44 (Rev. 12/07)

CIVIL COVER SHEET

The JS 44 civil cover sheet and the information contained herein neither replace nor supplement the filing and service of pleadings or other papers as required by law, except as provided by local rules of court. This form, approved by the Judicial Conference of the United States in September 1974, is required for the use of the Clerk of Court for the purpose of initiating the civil docket sheet. (SEE INSTRUCTIONS ON THE REVERSE OF THE FORM.)

I. (a) PLAINTIFFS			DEFENDANTS						
P.S. Products, Inc., and Billy Pennington				SDC Self Defense Corp					
(b) County of Residence of First Listed Plaintiff Pulaski (EXCEPT IN U.S. PLAINTIFF CASES) (c) Attorney's (Firm Name, Address, and Telephone Number) Stewart Law Firm, 904 Garland St., LR, AR 72201				County of Residence of First Listed Defendant (IN U.S. PLAINTIFF CASES ONLY) NOTE: IN LAND CONDEMNATION CASES, USE THE LOCATION OF THE LAND INVOLVED. Attorneys (If Known)					
II. BASIS OF JURISI	DICTION (Place an "X" i	n One Box Only)		TIZENSHIP OF		AL PARTIES			
☐ 1 U.S. Government Plaintiff	3 Federal Question (U.S. Government)	Not a Party)	İ	(For Diversity Cases Only en of This State) PTF DEF	Incorporated or Proof Business In This		or Delenda PTF 4	nt) DEF 4
2 U.S. Government Defendant	1 4 Diversity (Indicate Citizenshi	p of Parties in Item III)	Citize	en of Another State en or Subject of a		Incorporated and F of Business In A Foreign Nation		O 5	O 6
IV. NATURE OF SUI	T (Place an "X" in One Box Or	nlv)	For	reign Country					·
☐ 110 Insurance ☐ 120 Marine ☐ 130 Miller Act ☐ 140 Negotiable Instrument ☐ 150 Recovery of Overpayment	PERSONAL INJURY 310 Airplane 315 Airplane Product Liability 320 Assault, Libel & Slander 330 Federal Employers' Liability 340 Marine 345 Marine Product Liability 350 Motor Vehicle Product Liability 360 Other Personal Injury	PERSONAL INJUR 362 Personal Injury Med. Malpraetic 365 Personal Injury Product Liability 368 Asbestos Personal Injury Product Liability PERSONAL PROPER 370 Other Fraud 371 Truth in Lending 380 Other Personal Property Damage Product Liability	CY 0 61 62 62 62 62 62 62 62 62 62 62 62 62 62	O Agriculture O Other Food & Drug 5 Drug Related Seizure of Property 21 USC 881 O Liquor Laws O R.R. & Truck O Airline Regs. O Occupational Safety/Health O Other Fair Labor Standards Act O Labor/Mgmt. Relations O Labor/Mgmt. Relations O Labor/Mgmt. Reporting & Disclosure Act O Cher Labor Litigation of Empl. Ret. Inc. Security Act IMMIGRATION Naturalization Applicat Habbeas Corpus Alien Detainee O Other Inmigration Actions	422 App 423 Win 28 U 423 Win 28 U 423 Win 28 U 424 Win 28 U 425 Win 28 U 425 Win 28 U 425 Win 28 W	ceal 28 USC 158 hdrawal USC 157 REAL RIGHT SAN pyrights ent demark (1395 ff) k(1395 ff) k(Lung (923) VC/DIWW (405(g)) D Title XVI	400 State Re 410 Antitrus 430 Banks a 450 Comme 460 Deporta 470 Rackete Corrupt 490 Cable/S 810 Selectiv Exchang 875 Custom 12 USC 890 Other S 891 Agricul 892 Econor 893 Envirol 894 Energy 895 Preedon Act 900 Appeal of 900 Appeal of 100 Appea	apportional and Bankin, rec tion er Influence Organizati er Credit at TV e Service ess/Commo ge er Challen 3410 Atlocation of Inform of Fee Dete ee ee ationality of	ge etions ention Act atters Act nation ermination ess
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VI. CAUSE OF ACTI	ION Cite the U.S. Civil Stands of Care Patent Intringer	tute under which you a use: ment	re filing (Do not cite jurisdiction	onal statutes	unless diversity):			
VII. REQUESTED IN COMPLAINT:	<u></u>	IS A CLASS ACTIO	N D	EMAND \$		CHECK YES only JURY DEMAND:		complain	nt:
VIII. RELATED CAS IF ANY	SE(S) (See instructions):	JUDGE			DOCK	ET NUMBER			
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U.S. District Court Eastern District of Arkansas (Little Rock) CIVIL DOCKET FOR CASE #: 4:12-cv-00536-SWW Internal Use Only

P S Products Inc et al v. SDC Self Defense Corp Assigned to: Judge Susan Webber Wright

Cause: 35:271 Patent Infringement

Date Filed: 08/23/2012 Jury Demand: Plaintiff Nature of Suit: 830 Patent Jurisdiction: Federal Question

Plaintiff

P S Products Inc

represented by Chris H. Stewart

Stewart Law Firm 904 Garland Street Little Rock, AR 72201

501-353-1364 Fax: 501-353-1263

Email: arklaw@comcast.net

LEAD ATTORNEY

ATTORNEY TO BE NOTICED

Plaintiff

Billy Pennington Individually

represented by Chris H. Stewart

(See above for address) *LEAD ATTORNEY*

ATTORNEY TO BE NOTICED

V.

Defendant

SDC Self Defense Corp

Date Filed	#	Docket Text
08/23/2012		COMPLAINT with Jury Demand against SDC Self Defense Corp, filed by P S Products Inc, Billy Pennington. Filing fee of \$ 350.00 paid; receipt #LIT035565; summons issued and returned to counsel. (Attachments: # 1 Civil Cover Sheet)(kdr) (Entered: 08/24/2012)

A TRUE COPY I CERTIFY
JAMES W. McCORMACK, CLERK

DC

UNITED STATES DISTRICT COURT EASTERN DISTRICT OF ARKANSAS OFFICE OF THE CLERK 600 WEST CAPITOL AVENUE Room A149 LITTLE ROCK, ARKANSAS 72201

JAMES W. McCORMACK CLERK PHONE 501-604-5351 FAX 501-604-5321

August 27, 2012

Director U.S. Patent and Trademark Office Post Office Box 1450 Alexandria, Virginia 22313-1450

Re: 4:12-cv-00536-SWW, P.S. Products Inc., et al. V. SDC Self Defense

Dear Sir:

Enclosed is Form AO-120 Report on the Filing or Determination of an Action Regarding a Patent or Trademark (copy 1), the complaint, and the docket sheet regarding the above-styled case. If you have any questions regarding these enclosures, please call me. Thank you.

Sincerely,

JAMES W. McCORMACK, CLERK

Tammy Downs, Operations Manager

Enclosures

ce: James McCormack, Clerk of Court